

PTO/SB/21 (modified)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/284,113	
		Filing Date	April 7, 1999	
		First Named Inventor	Michael De Angelo	
		Group Art Unit Number	2771	
		Examiner Name	not yet known	
Total Number of Pages in This Submission		5	Attorney Docket Number	3726

TRANSMITTAL FORM

(to be used for all correspondence during pendency of filed application)

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
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REMARKS:

SIGNATURE OF ATTORNEY OR AGENT

Signature:			
Attorney/Reg. No.:	Greg T. Sueoka / Reg. No.: 33,800	Dated:	May 8, 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:			
Typed or Printed Name:	Greg T. Sueoka	Dated:	May 8, 2000
Express Mail Mailing Number (optional):			

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APPLICANT: Michael De Angelo
SERIAL NO.: 09/284,113
FILING DATE: April 7, 1999
TITLE: System And Method For Creating And Manipulating Information Containers With Dynamic Registers
EXAMINER: not yet known
GROUP ART UNIT: 2771
ATTY. DKT. NO.: 3726

CERTIFICATE OF MAILING

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Dated: May 8, 2000

By: Greg T. Sueoka
Greg T. Sueoka, Reg. No.: 33,800

ASSISTANT COMMISSIONER FOR PATENTS
APPLICATION PROCESSING DIVISION
CUSTOMER CORRECTION BRANCH
WASHINGTON, DC. 20231

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

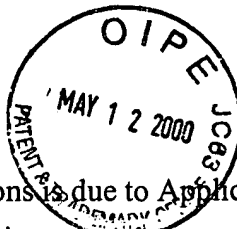
Enclosed is a copy of the Official Filing Receipt. It contains the following error:

1. The filing receipt does not indicate small entity status, as evidenced by the executed Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) & 1.27(c))—Small Business Concern, a copy of which is enclosed.

Please issue a corrected Filing Receipt rectifying this error.

☒ The correction is not due to any error by the Applicant and therefore no fee is due.

PATENT



☐ Since at least one of the corrections is due to Applicant's error, payment in the amount of \$25, pursuant to 37 CFR § 1.19(h), is enclosed.

Respectfully submitted,
MICHAEL DE ANGELO

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Dated: May 8, 2000

By: 

Greg T. Sueoka, Reg. No.: 33,800

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21114/03726/DOCS/1042815.1

FILING RECEIPT



OC000000005048164

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COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
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Washington, D.C. 20231**COPY**

APPLICATION NUMBER	FILING DATE	GRP ART. UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TO CLAIMS	IND CLAIMS
09/284,113	04/07/1999	2771	524	3726-US	30	36	3

GREG T SUEOKA
FENWICK & WEST
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306**RECEIVED**

APR 18 2000

FENWICK & WEST LLP

Date Mailed: 04/13/2000

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

MICHAEL DE ANGELO, SANTA BARBARA, CA UNITED STATES;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/US99/01988 01/28/1999
WHICH CLAIMS BENEFIT OF 60/073,209 01/30/1998

Small Entity Status

Foreign Applications

If Required, Foreign Filing License Granted 04/12/2000

**

Title

SYSTEM AND METHOD FOR CREATING AND MANIPULATING INFORMATION
CONTAINERS WITH DYNAMIC REGISTERS

Preliminary Class

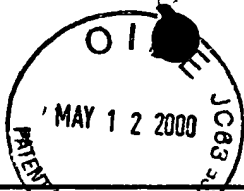
707

Data entry by : BARRETO, NGA

Team : OIPE

Date: 04/13/2000





VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))—SMALL BUSINESS CONCERN

Docket Number (Optional):
3726

Applicant or Patentee: Michael De Angelo

Application or Patent No.: _____

Filing Date or Issue Date: _____

Title: System And Method For Creating And Manipulating Information Containers With Dynamic Registers

I hereby declare that I am

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Ematrix Corporation

ADDRESS OF SMALL BUSINESS CONCERN 104 West Anapamu, Suite C
Santa Barbara, California 93101

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

☒ the specification filed herewith with title as listed above.

☐ the application identified above.

☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each such person, concern or organization having any rights in the invention is listed below:

☒ No such person, concern, or organization exists.

☐ Each such person, concern or organization is listed below:

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Michael De Angelo

TITLE OF PERSON IF OTHER THAN OWNER Officer

ADDRESS OF PERSON SIGNING 104 West Anapamu, Suite C, Santa Barbara, California 93101

SIGNATURE Michael De Angelo

DATE April 5, 1999